

A Phase II Trial of Concurrent Paclitaxel, Carboplatin and Radiotherapy in Stage III/IV Resectable Cancer of the Oral Cavity and Oropharynx

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Introduction

In the treatment of head and neck cancer chemotherapy used to be limited to metastatic or recurrent settings. During the last twenty years the addition of chemotherapy to aggressive local treatment has been investigated to overcome high local relapse rates. Recent meta-analysis have confirmed data of randomized trials of combined modality treatment concepts and identified the advantage in treatment outcome for patients with concomitant chemoradiotherapy (Munro 1995, El-Sayid et al. 1996, Bourhis et al. 1998). Many chemotherapeutic agents have been used as radiation sensitizers, including platinum compounds, bleomycin, mitomycin, and antimetabolites such as methotrexate and 5-fluoro-uracil. Taxane have been the focus of several multi-modality studies combining chemotherapy and radiotherapy (Aisner et al. 1995, Hoffmann et al. 1996, Chougule et al 1997, Vogt et al. 1998). The efficacy and feasibility of a Paclitaxel/Carboplatin combination with simultaneous radiotherapy could be demonstrated in phase I-II trials (Tab.1).

	pts	operability	Regimen	Response
Chougule (1997)	34	operable	Paclitaxel 60mg/m ² Carboplatin AUC 1 Radiation 45Gy	CR 73% PR 23% pCR 71% (PT) pCR 85% (LN)
Chougule (1997)	16	inoperable	Paclitaxel 60mg/m ² Carboplatin AUC 1 Radiation 72Gy	CR 57% PR 33%
Haas (1999)	60	inoperable	Paclitaxel 45mg/m ² Carboplatin 100mg/m ² Radiation 72Gy	CR 82% PR 11%

Tab. 1

Both Paclitaxel and Carboplatin have demonstrated high single agent activity in head and neck cancer as well as radiosensitizing effects (Hoffmann et al.1996, Leonard et al.1997). Paclitaxel causes an enhancement of the rate and yield of microtubular assembly and prevents microtubular depolymerisation, therefore acting as a mitotic inhibitor in the radiation-sensitive G2/M-phase. Based on documented excellent radiosensitization effects, a prospective phase II trial was initiated using Paclitaxel (P) and Carboplatin (C) with concurrent conventional fractionated radiotherapy followed by surgery of the primary tumor and regional neck nodes.

Methods

Patients were eligible for this study if they met the following criteria: histologically confirmed squamous cell carcinoma of the oral cavity or oropharynx; resectable stage III and IV disease; ECOG performance status <2; no tumor-specific pretreatment; no major impairment of liver, kidney, bone marrow, lung, or cardiovascular functions. Staging procedures consisted of careful clinical investigation with tattooing of the resection margins under general anesthesia, neck sonography, computed tomography of the primary lesion and the neck, chest x-ray. The extent of the disease was defined according to the TNM system. Radiotherapy was applied using a conventional fractionated protocol of 5 x 2,0 Gy/week to a total dose of 40Gy with 6-MeV photons. Using standard premedication Paclitaxel 40mg/m² was administered as a weekly continuous 1-hour infusion in weeks 1-5, followed by Carboplatin AUC 1,5 continuous infusion over 30 minutes. Colony-stimulating factors were given only in instances of severe neutropenia with documented infection. Toxicity was grade according to the NCI Common Toxicity Criteria (CTC); and response was assessed according to WHO standards. Surgery of the primary tumor followed within four weeks after completion of chemoradiation. Resection of the tumor, a suprahyoidal or complete functional neck dissection was performed according to DÖSAK criteria. The mucosal defects were reconstructed in most cases using a fasciocutaneous radial forearm flap. This study was approved by the ethics committee of our institution. Written informed consent was required from all patients. All patients underwent repeated clinical examination during treatment for identification of response and acute reactions.

Results

From 5/98 to 10/99 twenty-eight patients (23 males, 5 females) with stage III (6 pts.) and stage IV (22 pts.) disease were enrolled. The mean age was 54 years (range 40-71). Six patients had squamous cell carcinoma of the oropharynx and twenty-two patients of the oral cavity. A total of 115 cycles of chemotherapy was administered to the patient population. Patients data of this ongoing trial are summarized in Tab. 2.

Patient characteristics	
Treatment period:	5/98 - 10/99
	<u>No. of pts</u>
Total	28
Female	5
Male	23
Tumor site:	
Oral cavity	22
Oropharynx	6
Median age	54 yrs (range 40-71)
T-Stage:	
T1	0
T2	6
T3	9
T4	13
N-Stage:	
N0	5
N1	10
N2	13
N3	0
Stage III	6
Stage IV	22

Tab. 2

Twenty-seven patients were evaluable for toxicity and response (Tab.3). One early death was reported due to septic neutropenia. Clinical response was as follows: CR (14/27 52%); PR (13/27 48%).

Response rates	
evaluable:	27/28 pts
CR	52% (14/27)
PR	48% (13/27)
pCR	44% (10/23)
pPR	56% (13/23)

Tab. 3

CTC grade 2 or 3 mucositis occurred in all patients. Hematologic toxicity was as follows: hemoglobin CTC grade 3 (14%), leukocytes CTC grade 1 (24%), grade 3 (33%), grade 4 (10%), thrombocytes CTC grade 2 (10%), grade 3(14%).

Acute organ toxicity (CTC)		
Mucosa		
	weeks 1-2	weeks 3-4
grade 0	8%	0%
grade 1	63%	8%
grade 2	29%	63%
grade 3	0%	29%
Skin		
	weeks 1-2	weeks 3-4
grade 0	54%	0%
grade 1	42%	63%
grade 2	4%	33%
grade 3	0%	4%

Tab. 4

Major non-hematologic toxicity was mucositis and dermatitis (Tab.4). Median value of leucocytes, hemoglobin, and thrombocytes are shown in Fig.1a-c. Twenty-three patients were evaluable for pathological response after surgical resection. Pathological response was as follows: pCR (10/23 44%); pPR(13/23 56%). With a median follow-up of 10 months the 1-year-survival is 88% (Tab.5)

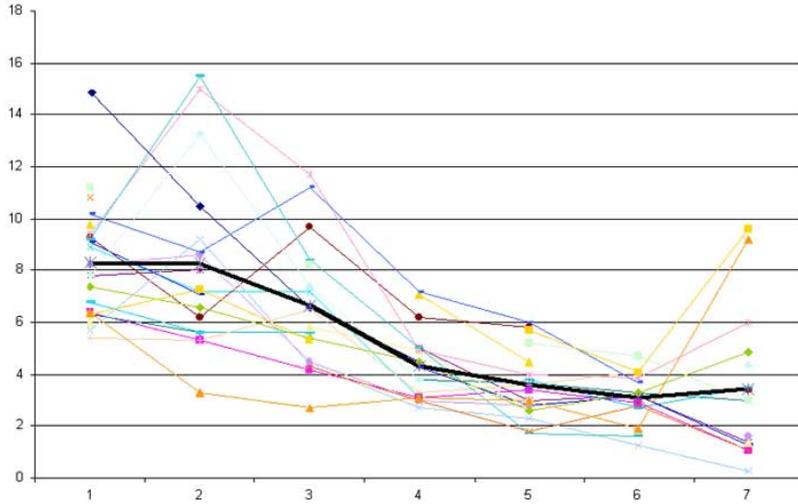


Fig. 1a: Leucocytes

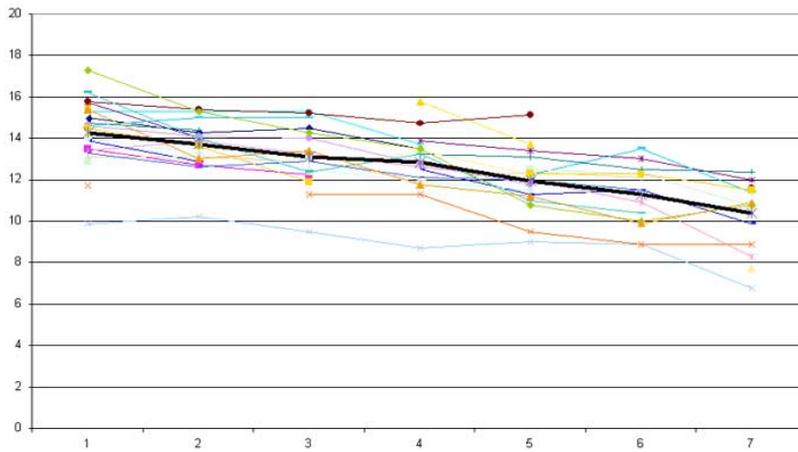


Fig. 1b: Hemoglobin

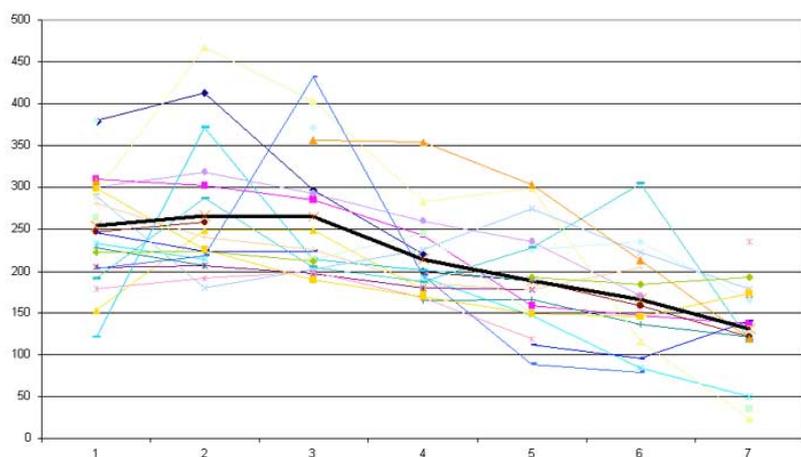


Fig. 1c: Thrombocytes

Follow up		
Median follow-up	10 months	range 5 - 20 Mo
1-year-survival	88%	
local recurrence (neck node)	1	after 4 months
treatment-related death	1	septic neutropenia
post-operative death	1	pneumonia

Tab. 5

Conclusion

The prognosis of advanced squamous cell carcinoma of the head and neck remains poor. Concurrent P/C and radiotherapy resulted in excellent clinical and pathological response rates in advanced stage disease (pCR 44%, pPR 56%). Mucositis was the most common and significant toxicity. The treatment can be performed on outpatient basis. The present phase II trial precedes a randomized study of the DÖSAK Cooperative Group.

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Poster Faksimile:

A PHASE II TRIAL OF CONCURRENT PACLITAXEL, CARBOPLATIN AND RADIOTHERAPY IN STAGE III/IV RESECTABLE CANCER OF THE ORAL CAVITY AND OROPHARYNX

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Introduction

In the treatment of head and neck cancer chemotherapy used to be limited to metastatic or recurrent settings. During the last twenty years the addition of chemotherapy to aggressive local treatment has been investigated to overcome high local relapse rates. Recent meta-analysis have confirmed data of randomized trials of combined modality treatment concepts and identified the advantage as treatment outcome for patients with concomitant chemotherapy (Morris 1998, El-Sayed et al. 1996, Bourhis et al. 1998). Many chemotherapeutic agents have been used as radiation sensitizers, including platinum compounds, thiosyrim, mitomycin, and antimetabolites such as methotrexate and 5-Fluorouracil. Taxane have been the focus of several multi-modality studies combining chemotherapy and radiotherapy (Alamir et al. 1995, Hoffmann et al. 1996, Choupiat et al. 1997, Vogt et al. 1998). The efficacy and feasibility of a Paclitaxel/Carboplatin combination with simultaneous radiotherapy could be demonstrated in phase I-III trials (Tab.1).

Surgery of the primary tumor followed within four weeks after completion of chemoradiation. Resection of the tumor, a suprathyroid or complete functional neck dissection was performed according to DÖSAK criteria. The maximal defects were reconstructed in most cases using a fasciocutaneous radial forearm flap.

This study was approved by the ethics committee of our institution. Written informed consent was required from all patients. All patients underwent regular clinical examination during treatment for identification of response and acute reactions.

Results

From 5/98 to 10/99 twenty-eight patients (23 males, 5 females) with stage III (9 pts.) and stage IV (22 pts.) disease were enrolled. The mean age was 64 years (range 40-71). Six patients had squamous cell carcinomas of the oropharynx and twenty-two patients of the oral cavity. A total of 113 cycles of chemotherapy was administered in the patient population. Patients data of this ongoing trial are summarized in Tab. 2.

Median value of leucocytes, hemoglobin, and thrombocytes are shown in Fig.1.a-c. Twenty-three patients were evaluable for pathologic response after surgical resection. Pathological response was as follows: pCR (10/23 44%); pPR(13/23 56%).

With a median follow-up of 10 months the 1-year-survival is 88% (Tab.5).

Table 1

no. patients	Median	Standard
leucocytes (10 ⁹ /l)	10.0	1.0
hemoglobin (g/dl)	13.0	1.0
thrombocytes (10 ⁹ /l)	200	20

Table 2

Parameter	Value
Stage	III (9), IV (22)
Sex	Male (23), Female (5)
Age (years)	40-71
Site	Oral cavity (22), Oropharynx (6)
ECOG performance	0-2
Pre-treatment	None
Chemotherapy	Paclitaxel (113 cycles), Carboplatin (113 cycles)
Radiotherapy	60-70 Gy
Pathologic response	pCR (10/23), pPR (13/23)

Table 3

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 4

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 5

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 6

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 7

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 8

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 9

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 10

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 11

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 12

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 13

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 14

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 15

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 16

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 17

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 18

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 19

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 20

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 21

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 22

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 23

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 24

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 25

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 26

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 27

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 28

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 29

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 30

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 31

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 32

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 33

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 34

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 35

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 36

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 37

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 38

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 39

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 40

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 41

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 42

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 43

Grade	Number	Percentage
CTC grade 2 or 3 mucositis	28	100%
CTC grade 3 leukopenia	14	50%
CTC grade 4 leukopenia	14	50%

Table 44

Parameter	Value
Median value of leucocytes (10 ⁹ /l)	10.0
Median value of hemoglobin (g/dl)	13.0
Median value of thrombocytes (10 ⁹ /l)	200

Table 45

Parameter	Value
Median follow-up (months)	10
1-year survival (%)	88
2-year survival (%)	75
3-year survival (%)	65
4-year survival (%)	55
5-year survival (%)	45
Median survival (months)	18
Median time to progression (months)	12
Median time to death (months)	10

Table 46

Grade	Number	Percentage
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